

02/28/01

FORM PTO-1390 (Modified) (REV 11/98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <b>P368b</b>	
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <b>09/786140</b>	
INTERNATIONAL APPLICATION NO. <b>PCT/US99/20220</b>		INTERNATIONAL FILING DATE <b>02/09/99</b>		PRIORITY DATE CLAIMED <b>03/09/98</b>	
TITLE OF INVENTION <b>METHODS TO CONTROL HEAT TRANSFER IN FLUIDS CONTAINING DRAG-REDUCING ADDITIVES</b>					
APPLICANT(S) FOR DO/EO/US <b>MATTHY, Eric; GASLJEVIC, Kazimir</b>					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<div>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</div> <div>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</div> <div>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</div> <div>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</div> <div>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))<div>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</div><div>b. <input type="checkbox"/> has been transmitted by the International Bureau.</div><div>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</div></div> <div>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</div> <div>7. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</div> <div>8. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))<div>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</div><div>b. <input type="checkbox"/> have been transmitted by the International Bureau.</div><div>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</div><div>d. <input type="checkbox"/> have not been made and will not be made.</div></div> <div>9. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</div> <div>10. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</div> <div>11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</div> <div>12. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</div>					
Items 13 to 20 below concern document(s) or information included:					
<div>13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</div> <div>14. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</div> <div>15. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</div> <div>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</div> <div>17. <input type="checkbox"/> A substitute specification.</div> <div>18. <input type="checkbox"/> A change of power of attorney and/or address letter.</div> <div>19. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail</div> <div>20. <input type="checkbox"/> Other items or information:</div>					
<div></div>					

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.492 (a)(1) - (5)) : <b>09/786140</b>		INTERNATIONAL APPLICATION NO. <b>PCT/US99/20220</b>		ATTORNEY'S DOCKET NUMBER <b>P368b</b>	
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21. The following fees are submitted:				<b>CALCULATIONS PTO USE ONLY</b>	
<b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) :</b> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1,000.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$860.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$710.00</b> <input checked="" type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$690.00</b> <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b> <p style="text-align: center;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></p>				<b>\$690.00</b>	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				<b>\$0.00</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	27 - 20 =	7	x \$18.00	<b>\$126.00</b>	
Independent claims	12 - 3 =	9	x \$80.00	<b>\$720.00</b>	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,536.00</b>	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input checked="" type="checkbox"/>				<b>\$768.00</b>	
<b>SUBTOTAL =</b>				<b>\$768.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				<b>\$0.00</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$768.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$768.00</b>	
				Amount to be refunded	\$
				charged	\$

☒ A check in the amount of **\$768.00** to cover the above fees is enclosed.  
*(2 checks in the amount of 384.00)*

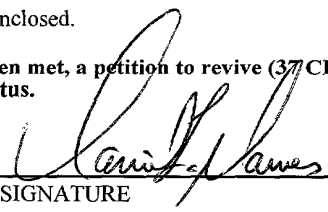
☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **01-1960** A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

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A decrease in pumping power would save energy in many systems such as recirculating hydronic HVAC systems or other types where water or another fluid are used as thermal transport agent for cooling or heating.

Unfortunately, if the system includes heat exchangers, the heat transfer is also greatly decreased by the additives and the heat exchangers show reduced efficiency. In the case of a cooling system, for example, the chiller has to work with larger refrigerant temperature difference, and the energy savings in the pumps are countered by the additional chiller work, and the total energy savings may be reduced, eliminated, or there could even be a net increase in overall system energy use. A similar effect is seen in heating systems. By introducing a conventional heat transfer enhancement device it is possible to modify the flow and to increase heat transfer as in the case of water, but this is generally not a suitable solution because the losses due to the insert are too high in many cases.

Drag-reducing surfactant solutions have been used for reducing pumping power in circulation systems under turbulent flow conditions. The reduced heat transfer that inevitably accompanies drag reduction in any turbulent pipe flow was accepted as an undesirable by-effect in most applications or uses. Special types of heat exchangers, like plate heat exchangers, which make use of narrow passages instead of pipes, show smaller heat and drag reductions. In general, however, the application of drag-reducing additives in any circulation system that

includes heat transfer in most possible applications is inhibited by reduction of heat transfer efficiency in the heat exchangers.

What is needed is an apparatus and method whereby the use of any type of heat exchanger with drag-reducing surfactant additives can be realized  
5 without substantial or excessive reduction in heat transfer.

### Brief Summary Of The Invention

Instead of modifying just the flow, it is possible to modify the fluid temporarily by a suitable fluid degrading device. This has to be done so as not  
10 to require too much flow work, but yet to still destroy the fluid structure for a suitable period of time sufficient to cover the residence time of the fluid in the heat exchanger. According to the invention it is possible to customize the fluid to achieve the desirable recovery time needed. This can then be used to control heat transfer. This may also be done in some cases done through a  
15 temperature jump.

According to the invention it is possible to find or develop a fluid that would have suitable characteristics so as to optimize the application of the invented method of heat transfer control by achieving the temperature independence of drag reducing, degradation and recovery characteristics of the  
20 drag reducing surfactant solution.

More particularly the invention is an improvement in a heat exchanger comprising a surfactant solution flowing through the heat exchanger which reduces fluid drag within the hydronic system.

The surfactant solution is characterized by a predetermined recovery time  
5 as defined by ability of the surfactant solution to rebuild molecular or micellar structures after disruption of the molecular or micellar structures. A degrading device creates a stress field in or before the heat exchanger to break or disrupt the molecular or micellar structures in the surfactant solution by high local shear stresses so that heat transfer rate of the surfactant solution is increased, by  
10 elimination of drag and heat transfer reducing ability of the degraded surfactant solution for a predetermined distance downstream from the degrading device during which recovery time the molecular or micellar structures are being rebuilt. The term "solution" is used in the specification and claims to encompass not only a solute in a solvent, but also mixtures of components and colloidal suspensions  
15 of a colloid.

The invention is also defined as a method of heat exchange comprising the steps of providing a surfactant solution as a heat exchanging fluid in a heat exchanger, which surfactant solution reduces fluid drag within the heat exchanger disposed in the heat exchanger. The surfactant solution is  
20 characterized by a predetermined recovery time as defined by ability of the surfactant solution to rebuild molecular or micellar structures after disruption of the molecular or micellar structures. The flow in the heat exchanger is disturbed

to break or disrupt the molecular or micellar structures in the surfactant solution by high local shear stresses so that heat transfer rate of the surfactant solution is increased by elimination of drag and heat transfer reducing ability of the degraded surfactant solution for a predetermined distance downstream from the disturbance during the recovery time, during which the molecular or micellar structures are being rebuilt.

The invention is still further defined as a method of heat transfer recovery in turbulent flow of drag reducing surfactant solutions comprising the steps of providing a degrading device which degrades the fluid with minimum pressure drop, creating temporary degradation of a circulating fluid, and conditioning of the drag reducing fluid properties relevant for degradation and recovery.

The invention is also the fluid itself which has optimized properties of stress resistance and recovery comprising a thermal transport fluid, such as water, and a surfactant additive capable of withstanding stress in all pipes and fittings of a circulation system and providing asymptotic drag reduction in the pipelines of the circulation system.

In a heat exchanger the fluid is degraded by a degrading device. The drag and heat transfer reductions are temporarily substantially eliminated. The fluid remaining substantially degraded during its residence in the heat exchanger after which recovery occurs quickly after the fluid exits from heat exchanger.

In one embodiment the fluid and surfactant in combination are characterized by a drag reduction recovery having a long dead time when the

drag-reduction has been essentially eliminated-and a subsequent fast recovery to a substantially undegraded drag reduction level.

The invention is still further defined as a fluid having optimized properties of degradation and recovery comprising a thermal transport fluid, such as water, and a surfactant additive having, when added to thermal transport fluid, a substantial independence of recovery and degradation properties as a function of temperature. The surfactant additive comprises two or more types of surfactants with opposing effects of degradation and recovery parameters as a function of temperature. The additive may comprise a cationic surfactant and a nonionic surfactant, or any other combination of surfactants now known or later devised, which combination is temperature independent or substantially temperature independent. The opposing effects of the two or more types of surfactants (e.g. cationic surfactant and nonionic surfactant) substantially cancel each other to provide a substantially temperature independent surfactant additive.

The invention is also a heat exchanger comprising a first heat exchanging fluid path, and a second heat exchanging fluid path. At least one of the first and second heat exchanging fluid paths further comprises a dedicated degrading device disposed therein. A heat exchanging fluid with a temporarily degradable drag reducing surfactant additive flows in the corresponding heat exchanging fluid path.

The dedicated device is used exclusively for degrading a heat exchanging fluid flowing through the heat exchanger. The most effective dedicated



degrading device imposes a flow disturbance or shear stress uniformly across a cross section of the corresponding heat exchanging fluid path in which the dedicated degrading device is disposed. The dedicated degrading device exposes every surfactant particle flowing in the corresponding heat exchanging fluid path to at least a supercritical stress, which is the amount of stress sufficient to substantially eliminate the drag reducing characteristics of the surfactant solution. The stress imposed by the dedicated degrading device is not significantly higher than the supercritical stress so that the flow energy needed for degradation is minimized. Ideally, the dedicated degrading device is disposed at or near an inlet to the corresponding heat exchanging fluid path.

In the preferred embodiment, the dedicated degrading device comprises a wire mesh disposed across the corresponding heat exchanging fluid path, which also functions as a filter. It is to be expressly understood that many other types of dedicated degrading devices are expressly within the scope of the invention other than mesh obstructions, including specifically devices which do not create an uniform fluid stress, such as valves, pumps, filters, helices, orifices, wires and the like.

Again the invention can be defined as a heat exchanger comprising a first heat exchanging fluid path, and a second heat exchanging fluid path, wherein at least a corresponding one of the first and second heat exchanging fluid paths further comprises a conventional hydraulic component normally found in a circulation system. The hydraulic component is disposed upstream and in

proximity to the corresponding heat exchanging fluid path. A heat exchanging fluid with a temporarily degradable drag reducing surfactant additive is disposed in the corresponding heat exchanging fluid path.

The invention is defined as a method of heat transfer recovery in turbulent flow in a heat exchanger of a drag reducing surfactant fluid characterized by drag reducing fluid properties for degradation and recovery comprising the steps of conditioning the drag reducing fluid properties of the drag reducing surfactant fluid. A degrading device is provided which degrades the fluid with minimum pressure drop. An initial temporary degradation of a circulating fluid is created, and after the fluid is initially degraded one or more smaller disturbances are created downstream to prevent fluid from recovery. A smaller pressure drop than the one used for the initial degradation upstream of heat exchanger can be used to create the smaller disturbances.

In one embodiment the step of conditioning the drag reducing fluid properties of the drag reducing surfactant fluid comprises conditioning the fluid so that it has a faster recovery to achieve asymptotic drag reduction immediately downstream from the heat exchanger.

In another embodiment the step of conditioning the drag reducing fluid properties of the drag reducing surfactant fluid comprises conditioning the fluid so that shear stress generated by pipe stress in the heat exchanging fluid paths of the heat exchanger degrade the fluid.

In still a further embodiment the step of conditioning the drag reducing fluid properties of the drag reducing surfactant fluid comprises conditioning the fluid so that shear stress generated by pipe stress in the heat exchanging fluid paths of the heat exchanger prevents the fluid which has been degraded by the  
5 degrading device from recovering.

The invention is still further defined as a fluid characterized by degradation and recovery of its drag reducing properties. The fluid comprises a base component and a surfactant having drag-reducing, fluid degradation, and fluid recovery properties which are substantially independent of temperature  
10 when combined with the base component.

The invention is also defined as a method of determining the elimination of drag reducing properties in a fluid including a surfactant comprising the steps of providing a flow of the fluid, providing a degrading device in the flow to eliminate the drag reducing properties of the fluid, creating a pressure drop  
15 across the degrading device, and measuring the pressure drop as an indicator of resistance to elimination of the drag reducing properties in the fluid.

The invention now having been briefly summarized, it may now be visualized by reference to the following drawings wherein like elements are referenced by like numerals.  
20

### Brief Description of the Drawings

Fig. 1 is a diagram symbolically depicting a heat exchanger.

Fig. 2 is a simplified perspective of a fluid degrading device comprised of a grid or screen insert.

Fig. 3 is a graph showing the drag reduction capacity of a surfactant solution as a function of time after the location of the degrading device exerting the pressure drop needed for the full degradation.

Fig. 4 is a graph of recovery time versus temperature of the surfactant solution for two "opposite" surfactants (e.g. a cationic and nonionic surfactant solution).

The invention and its various embodiments can now be understood by turning to the following detailed description.

### **Detailed Description Of the Preferred Embodiments**

Sufficient mechanical stress imposed on a fluid breaks the micellar structures or micelles themselves, which are the agents affecting turbulence in the flow and causing the drag reduction phenomenon. In this way drag reduction and heat transfer reduction are temporarily turned off. Fig. 1 is a symbolic depiction of a heat exchanger, generally denoted by reference numeral 12. Heat exchanger 12 has a first heat exchanging fluid path 14 in heat exchange relationship with a second heat exchanging fluid path 16,. A first fluid flows through fluid path 14 and absorbs heat from a second fluid flowing through fluid path 16. Fig. 1 shows a counterflow heat exchanger, but any type or design heat exchanger desired could be employed and the symbolic depiction of Fig. 1 is

meant to encompass all types. Heat exchanger 12 may be part of any hydronic system 24 now known or later devised, expressly including any heating or cooling application, or combination of heating and cooling.

In one embodiment, a recirculation loop 24 is provided in one of the fluid paths 16 by which fluid is pumped from outlet 26 by pump 22 to a point at or immediately upstream from inlet 10 to increase the flow rate of fluid through heat exchanger 12 relative to the rest of the hydronic system. By this increased flow rate through the heat exchanger, the heat transfer rate is increased by two mechanisms. First, increased flow velocity increases the shear stress in the heat exchanger pipes and causes degradation of the surfactant solution (change of the fluid properties). Second, increased velocity directly increases heat transfer by increased turbulence (change in the flow). The total effect can provide even a higher heat transfer rate than it was originally, without the use of surfactant additive, at the nominal flow rate through the heat exchanger

The first and/or second fluid can be mechanically degraded at the corresponding inlet 10 to the heat exchanger 12 for each fluid by a degrading device 18, providing the same level of heat transfer as with water for circulating fluid, as long as the fluid remains degraded. Any type of degrading device 18 which applies stress to the fluid to create turbulence to break the micelles or their structure, which device is now known or later devised, may be employed. Fig. 2 shows by way of example only an insert comprised of a grid or mesh screen 20 disposed across the inner diameter of the pipe. A plate provided with a plurality

of apertures or a grating would be included within the explicit range of equivalents of a grid or screen insert, and so would various other devices including wire loops, wall roughnesses, helices, and any other structure capable of creating fluid stress.

5           Immediately after the excessive stress is removed from the fluid such as occurs when the fluid flows downstream from device 18, the process of rebuilding of micelles and micellar structures begins. The time needed for the total recovery of the fluid drag-reducing ability (hereinafter the "recovery time") should be long enough that the fluid does not show any significant local heat  
10   transfer reduction over the residence time of the fluid in heat exchanger 12. An ideal fluid is degraded by degrading device 18 exerting a moderate pressure drop on the flow, but a higher pressure drop than exerted by conventional pipe fittings such as elbows and connectors. The fluid remains totally degraded during its residence time in heat exchanger 12, and recovers quickly as soon as  
15   it exits heat exchanger 12.

Fluid properties can be optimized for this purpose, as can the design of degrading device 18 by any one of a number of ways now known or later devised without departing from the scope and spirit of the claimed invention. Different variations on the basic idea are possible, depending on the size and the type of  
20   the circulation system in which heat exchanger 12 is included. For example, fluids with a long recovery time may not be provided with additional degradation devices 18 inside heat exchanger 12 beyond an initial degradation device 18, as

may be suited for large heat exchangers, while fluids with a short recovery time are provided with multiple degrading devices 18 inside heat exchanger 12, as may be suited for small heat exchangers.

A special case is a weak fluid degraded by the relatively high or higher  
5 than normal wall shear stress in heat exchanger 12. A weak fluid is defined as a fluid with a low critical wall shear stress and/or long recovery time, such as a shear stress close to the level normally encountered in a pipe at nominal fluid velocity. Examples of weak fluids include, but are not limited to lower concentration solutions, and solutions close to their critical temperatures.

10 Elements of the circulation system like conventional pumps, filters, and flow control valves can be used as degrading devices 18 for degradation if located upstream of heat exchanger 12, thereby eliminating the need for a dedicated degrading device 18, and avoiding the need for an additional pressure drop in the system.

15 Drag-reducing surfactant solutions reduce friction losses in turbulent pipe flow. However, when the turbulent exchange of momentum is reduced, so is the turbulent exchange of heat. As a result, the heat transfer in the pipes in heat exchanger 12 is reduced too, impairing or prohibiting the use of drag-reducing in systems that involve most types of heat exchangers 12. The conventional  
20 techniques used for increasing heat transfer by turbulence enhancement for Newtonian non-drag reducing fluids are effective in increasing heat transfer only up to a factor of 2 to 3 times, and then become prohibitively inefficient, because

of exceedingly high pressure drops in the case where the heat transfer has to be increased by factor of 10, such as in asymptotic drag reduction, which is defined as the maximal drag reduction that can be achieved by drag-reducing additives, typically up to 90% at high enough velocities.

- 5           According to the invention the surfactant solution in the heat exchanging fluid or fluids is temporarily degraded preferably for the time the fluids spends in heat exchanger 12, and during that time temporarily loses its properties of drag and heat transfer reduction. The degraded fluid preferably recovers as soon as possible after it exits from heat exchanger 12 to provide desirable drag reduction
- 10   in the rest of the circulation system.

- The most generally applicable means of temporary degradation is by mechanical stress. As discussed above in connection with Fig. 1 the basic and ideal principle is that the fluid is degraded by degrading device 18 at the very inlet 10 to heat exchanger 12, after which it remains completely degraded for the
- 15   time it spends in heat exchanger 12. The fluid recovers immediately and completely as it exits heat exchanger 12. However, the scope of the invention explicitly includes the disposition of an initial degrading device 18 at any position within in heat exchanger 12. If desired additional degrading devices 18 may be placed at any position downstream from the initial device 18. In the case where
- 20   multiple degrading devices 18 are used, it is not necessary that they be identical in design or that identical designs be used in both flow fluid paths 14 and 16.



It must be expressly understood that while mechanically induced stress on the fluid is preferred, the stress may be created on the heat exchanging fluid by any mechanism desired including hydrodynamic, ultrasonic, electromagnetic, temperature jumps, or by any other means known or later discovered.

- 5           Several concepts are needed for description of the degradation and recovery phenomena utilized in the invention, namely: (1) a state of complete degradation (zero drag reduction and zero heat transfer reduction), (2) the pressure drop on the degrading device (i.e. amount of energy for a unit volumetric flow rate) needed for complete degradation, and (3) the recovery time.
- 10   The recovery time consists of a dead or hidden recovery time T1 with no signs of recovering drag reduction, and a time T2 of approximately linearly increasing drag reduction until T3 when full drag reduction is recovered as diagrammatically depicted in Fig. 3. Related, but not identical to the pressure drop needed for the full degradation, is the critical shear stress, which is the wall shear stress in the
- 15   pipe flow above which degradation starts at steady flow conditions. The difference between the two is that degradation by a device 18 is local, implying a very short time of exposure, whereas the critical wall shear stress is defined under steady flow conditions or an unlimited exposure time. For example, with 1,000 ppm of SPE95285 (a non-ionic surfactant by AKZO-Nobel Chemicals), the
- 20   critical pressure drop on the local degradation device is 0.15 bar, whereas at the critical wall shear stress of 350 Pa over the length of 0.3 m of 15.2mm diameter

pipe is needed for close to complete degradation, or what amounts to a total pressure drop of 0.35 bar over the 0.3m pipe length.

According to the invention the fluid should be designed for optimal heat transfer control. The critical shear stress and pressure drop needed for degradation, the energy needed for degradation, and the recovery time are three relevant parameters in the operation claimed method. They are normally coupled. Stronger fluids, which is to say fluids with higher concentrations of surfactant, typically show a higher drag-reducing ability, a higher pressure drop needed for degradation, and a shorter recovery time. Examples of stronger fluids include, but are not limited to SPE95285 at 1,000 ppm in water at a temperature greater than 20 C or Ethoquad T13 (by AKZO Chemicals) at 1,000 ppm and a temperature lower than 25 C. The mixing of surfactants, the addition of nonsurfactant additives such as alcohols and salts, namely counter ion for cationic surfactants, can change those relationships, allowing better optimization of fluid properties.

For example, the addition of n-decanol to Ethoquad T13 a surfactant manufactured by AKZO-Nobel Chemicals located at Stenungsund, Sweden, decreases fluid drag-reducing ability and increases the recovery time  $T1 + T2$ . For example, 1,000 ppm by volume of n-decanol added to a 3,000 ppm solution of SPE95285 surfactant in water at a temperature of 9 C decreases the fluid drag-reducing ability from 70% to 50% under comparable conditions, and increases the recovery time,  $T1 + T2$ , from 3 to 10 seconds. If enough surfactant

is added to the solution to recover its drag reducing ability to the level before alcohol was added, the recovery time  $T1 + T2$  of the fully degraded fluid is still about two times higher than for the original solution. Alcohol can thus be used to increase recovery time of the fluid, while maintaining its drag-reducing ability.

5 All surfactant solutions have their properties strongly dependent on temperature, as it can be readily seen from their phase diagrams (temperature, concentration, phase changes). For example, the recovery time of the SPE95285 surfactant in a cooling system is approximately halved as the temperature changes from 10 to 14 Celsius as depicted in Fig. 4. A similar  
10 mechanism operates in the case of heating systems operating in the range of 75 to 100 Celsius or even broader temperature ranges. Most cooling or heating systems work between fixed temperatures (ranging from 5 to 10 Celsius for cooling, for example). This means that optimization of the fluid properties cannot be done for both ends of the temperature range if the recovery time is a function  
15 of the temperature, resulting in reduced pumping power savings. The overly long recovery time needed to maintain the full heat transfer capacity of all heat exchangers reduces the total pumping power savings. The cationic surfactant Ethoquad, and apparently most cationic surfactants in general, on the one hand, and nonionic surfactants, on the other, have their phase diagrams as mirror  
20 images of each other in terms of temperature as depicted in Fig. 4. This means that for the nonionic surfactant the recovery time increases with reduced temperature. This corresponds to the transition from rod-like to globular

micelles. The same phenomenon causes an increase in the recovery time for the cationic surfactant at increased temperature. Mixing two surfactants with opposite temperature dependence of recovery time (e.g. Ethoquad and SPE95285), makes their degradation and recovery properties almost temperature independent, which contributes greatly to optimized fluid characteristics in terms of adequate heat transfer control. For example, a mixture of 700 ppm by volume of Ethoquad T13 and 700 ppm of SPE95285 surfactant in water results in a mixture in which the recovery time varies no more than 20 % over a temperature range from 8 C to 12 C.

In the most general case, degrading device 18 is placed immediately upstream of heat exchanger 12 for the purpose of temporarily degrading the fluid by imposing a high enough mechanical stress needed for breaking the micellar structures. A certain pressure drop on the degrading device, corresponding to a certain flow work per unit of the flow rate, is needed for degradation. Not all of this pressure drop is actually used for degradation work. A large part of it is dissipated in viscous effects. For example, in the case of a wire mesh degrading device, approximately 5% or less of the energy is used for degradation of the fluid and the remainder is dissipated in viscous effects.

The most effective degrading device 18 is one which can achieve total degradation of the fluid with the minimum pressure drop. The circulation pump must provide this pressure drop which reduces the total pumping power savings. The most effective degrading device 18 is a distributed device, like a wire mesh

20 placed across the pipe cross section as shown in Fig. 2. The wire mesh 20 is about twice as effective as a conventional throttling valve. For example, total degradation is achieved with a pressure drop of 2 psi using mesh 20 as compared to the needed pressure drop of 3.5 psi for a throttling valve. This difference can be explained by the uniformity of the stress imposed on the all fluid particles flowing through mesh 20. Nowhere in the total cross section is the stress higher than needed for the total degradation, and all fluid particles are exposed to the necessary stress. In a conventional valve or other throttling device, if the stress imposed on the fluid in the center of the orifice of the valve is high enough for degradation, the stress close to the orifice edge is much higher than needed for degradation, resulting in unnecessarily high pressure drop on the throttling valve.

Pumps and flow control valves are elements of circulating systems, which dissipate locally large amounts of flow energy. Pumps, with a typical efficiency of 70% dissipate the remaining 30% of the total pumping power through viscous losses. That viscous loss work breaks the micelles. If the pump is appropriately located with respect to heat exchanger 12, such as upstream of the chiller in the cooling system, it takes care of heat transfer recovery in the chiller without the need for a additional degrading device 18 and its associated pressure drop.

Flow control valves normally installed for any cooling or heating coil impose typically 5 psi pressure drop even when open, and can be used to do the degradation job for those types of heat exchangers 12 using any surfactant

solution with long enough recovery time to be useful for this type of application. Altogether, no dedicated degradation device 18, i.e. no additional pressure drop, may in fact be needed for the whole cooling or heating hydronic system using a typical surfactant solution (e.g. 1,500 ppm of SPE95285 at 8 °C), if the flow control valves and pump are appropriately located with respect to heat exchanger 12.

In a small circulating system it may be unacceptable to lose drag-reducing effects in long sections of pipes downstream of heat exchanger 12. This may be the case especially if the fluid shows a linear recovery immediately after degradation without a dead time to prevent any significant recovery of the degraded fluid during its residence in heat exchanger 12. As an alternative, a fluid with a short recovery time may be used, such as a 1,500 ppm of SPE95285 at 20 C, giving a recovery time of less than 5 seconds. However, such a fluid, once degraded at inlet 10 to heat exchanger 12, should be prevented from recovering in heat exchanger 12. This may be achieved by imposing high local stresses in heat exchanger 12 by installing inserts or devices 18 at regular spacing in heat exchanger fluid paths 14 and/or 16. The pressure drop on those inserts or devices 18 can be significantly lower than the pressure drop on the initial degrading device 18 needed for the initial degradation at inlet 10 to heat exchanger 12, while still preventing the recovery of the fluid. For example, the grid spacing of screen 20 may be larger in devices 18 downstream from the initial device 18 at inlet 10 than the grid of the latter . This is another source of energy

savings. After exiting heat exchanger 12, the fluid can then recover very quickly, providing high level of drag reduction immediately downstream of heat exchanger 12. For example, a pressure drop greater than 1.4 psi is needed to degrade fully the fluid initially, whereas additional meshes with only 0.8 psi  
5 pressure drop and spaced 1.2 m apart can prevent recovery of the fluid.

Some fluids can have a high level of drag-reducing ability, which is close to asymptotic, and a very short recovery time, but relatively low critical shear stress or wall shear stress in the pipe which degrades the fluid in the steady flow condition at flow velocities only slightly higher than 2 m/s in a ½" pipe. Examples  
10 of such fluids are mixtures of SPE95285 and Ethoquad T13 after long-term recirculation. If the flow velocity in a heat exchanger is maintained above this critical velocity, there will be no drag and heat transfer reduction in heat exchanger 12. Of course, the wall shear stress must be kept lower in the rest of the system. Such a fluid may be degraded in conventional pipe fittings, but  
15 because of its fast recovery no significant total drag reducing effect is lost. In the case of the fluid above, the recovery occurs within 3 seconds.

A degrading device may be used at the inlet 10 to heat exchanger 12 when using a weak fluid, such as that above. However, a higher shear stress in heat exchanger fluid paths 14 and 16 as compared to the stress in the rest of the  
20 system can then be used to prevent fluid from recovering in heat exchanger 12, thereby providing the benefits of a short recovery time, as explained above.

Many alterations and modifications may be made by those having ordinary skill in the art without departing from the spirit and scope of the invention. While many of the specific above examples are given in terms of cooling systems, it must be emphasized that the invention applies with equal applicability to heating systems and applications. Therefore, it must be understood that the illustrated embodiment has been set forth only for the purposes of example and that it should not be taken as limiting the invention as defined by the following claims.

The words used in this specification to describe the invention and its various embodiments are to be understood not only in the sense of their commonly defined meanings, but to include by special definition in this specification structure, material or acts beyond the scope of the commonly defined meanings. Thus if an element can be understood in the context of this specification as including more than one meaning, then its use in a claim must be understood as being generic to all possible meanings supported by the specification and by the word itself.

The definitions of the words or elements of the following claims are, therefore, defined in this specification to include not only the combination of elements which are literally set forth, but all equivalent structure, material or acts for performing substantially the same function in substantially the same way to obtain substantially the same result. In this sense it is therefore contemplated that an equivalent substitution of two or more elements may be made for any one of the elements in the claims below or that a single element may be substituted



for two or more elements in a claim.

Insubstantial changes from the claimed subject matter as viewed by a person with ordinary skill in the art, now known or later devised, are expressly contemplated as being equivalently within the scope of the claims. Therefore, 5 obvious substitutions now or later known to one with ordinary skill in the art are defined to be within the scope of the defined elements.

The claims are thus to be understood to include what is specifically illustrated and described above, what is conceptionally equivalent, what can be obviously substituted and also what essentially incorporates the essential idea of 10 the invention.

We claim.

- 1           1.     An improvement in a hydronic system including a heat exchanger
- 2     when using a drag-reducing surfactant solution as a thermal distribution fluid
- 3     comprising:
- 4           a surfactant solution flowing through said heat exchanger, which
- 5     surfactant reduces fluid drag within said hydronic system, but not necessarily
- 6     within said heat exchanger, said surfactant solution characterized by an
- 7     optimized recovery time as defined by ability of said surfactant solution to rebuild
- 8     molecular or micellar structures after disruption of said molecular or micellar
- 9     structures; and
- 10          a fluid degradation device to create temporary fluid degradation in said
- 11     heat exchanger to break or disrupt said molecular or micellar structures in said
- 12     surfactant solution by high local shear stresses so that heat transfer rate of said
- 13     surfactant solution is increased in the heat exchanger for a predetermined
- 14     distance or time downstream from said degradation device, during which
- 15     recovery time said molecular or micellar structures are being rebuilt, subsequent
- 16     to which full drag and heat transfer reductions are again achieved,

17           whereby heat exchanger efficiency is recovered to an original level  
18   obtained without surfactant to achieve overall energy savings in said hydronic  
19   system.

1           2.     An improvement in a method of heat exchange in a hydronic  
2   system comprising:  
3           providing a surfactant solution as a heat exchanging fluid in a heat  
4   exchanger included within said hydronic system, which surfactant solution  
5   reduces fluid drag within said hydronic system, said surfactant solution  
6   characterized by a predetermined recovery time as defined by ability of said  
7   surfactant solution to rebuild molecular or micellar structures after disruption of  
8   said molecular or micellar structures; and disturbing flow in said heat exchanger  
9   to break or disrupt said molecular or micellar structures in said surfactant  
10   solution by high local shear stresses so that heat transfer rate of said surfactant  
11   solution is returned to a level approximating heat transfer rate of said heat  
12   exchanging fluid without said surfactant added for a predetermined distance  
13   downstream from said disturbance during said recovery time during which said  
14   molecular or micellar structures are being rebuilt.

1           3.     A method of heat transfer recovery in turbulent flow of drag  
2   reducing surfactant solutions comprising

3 providing a degrading device which degrades the fluid with minimum  
4 pressure drop;  
5 creating temporary degradation of a circulating fluid: and  
6 conditioning of the drag reducing fluid properties relevant for degradation  
7 and recovery.

1 4. A fluid having optimized properties of degradation and recovery  
2 comprising:  
3 a thermal transport fluid; and  
4 a surfactant additive capable of withstanding stress in all pipes and fittings  
5 of a circulation system and providing asymptotic drag reduction in straight pipes,  
6 and some drag reduction in fittings, whereas in a heat exchanger in which said  
7 fluid is degraded by a degrading device, the drag and heat transfer reductions  
8 are temporarily substantially eliminated, said fluid remaining substantially  
9 degraded during its residence in said heat exchanger after which recovery  
10 occurs quickly after said fluid exits from heat exchanger.

11

1 5. The fluid of claim 4 where said fluid and surfactant in combination  
2 are characterized by a drag reduction recovery having a long dead time at  
3 substantially reduced drag reduction and a fast recovery to a substantially  
4 undegraded drag reduction level.

1           6.     A fluid having optimized properties of degradation and recovery  
2     comprising:  
3           a thermal transport fluid; and  
4           a surfactant additive having, when added to said thermal transport fluid, a  
5     substantial independence of drag-reducing ability, degradation, and recovery  
6     properties as a function of temperature.

1           7.     The fluid of claim 6 wherein said surfactant additive comprises a  
2     mixture of surfactants with opposing effects of temperature on drag-reducing  
3     ability, degradation, and recovery time.

1           8.     The fluid of claim 7 where said mixture of surfactants comprises a  
2     cationic surfactant and a nonionic surfactant in which opposing effects of said  
3     cationic surfactant and nonionic surfactant substantially cancel each other to  
4     provide a substantially temperature independent surfactant additive.

1           9.     A heat exchanger comprising:  
2           a first heat exchanging fluid path;  
3           a second heat exchanging fluid path, wherein at least one of said first and  
4     second heat exchanging fluid paths further comprises a dedicated degrading  
5     device disposed therein; and

6 a heat exchanging fluid with a temporarily degradable drag reducing  
7 surfactant additive disposed in said corresponding heat exchanging fluid path.

1 10. The heat exchanger of claim 9 wherein said dedicated device is  
2 used exclusively for degrading a heat exchanging fluid flowing through said heat  
3 exchanger.

1 11. The heat exchanger of claim 9 wherein said dedicated degrading  
2 device imposes a flow disturbance or shear stress uniformly across a cross  
3 section of said corresponding heat exchanging fluid path in which said dedicated  
4 degrading device is disposed.

1 12. The heat exchanger of claim 11 wherein said dedicated degrading  
2 device exposes every surfactant particle flowing in said corresponding heat  
3 exchanging fluid path to at least a supercritical stress.

1 13. The heat exchanger of claim 12 wherein said stress imposed by  
2 said dedicated degrading device is not significantly higher than said supercritical  
3 stress so that the flow energy needed for degradation is minimized.

1           14.    The heat exchanger of claim 9 wherein said dedicated degrading  
2   device is disposed at or near an inlet to said corresponding heat exchanging fluid  
3   path.

1           15.    The heat exchanger of claim 9 wherein said dedicated degrading  
2   device comprises a wire mesh disposed across said corresponding heat  
3   exchanging fluid path.

1           16.    The heat exchanger of claim 15 wherein said wire mesh also  
2   functions as a filter.

1           17.    A heat exchanger comprising:  
2           a first heat exchanging fluid path;  
3           a second heat exchanging fluid path, wherein at least a corresponding  
4   one of said first and second heat exchanging fluid paths further comprises a  
5   conventional hydraulic component normally found in a circulation system, which  
6   hydraulic component is disposed upstream and in proximity to said  
7   corresponding heat exchanging fluid path; and  
8           a heat exchanging fluid with a temporarily degradable drag reducing  
9   surfactant additive disposed in said corresponding heat exchanging fluid path.

1           18.    A method of heat transfer recovery in turbulent flow in a heat  
2    exchanger by means of a drag reducing surfactant fluid characterized by  
3    degradation and recovery of drag reducing fluid properties comprising:  
4           conditioning said drag reducing fluid properties of said drag reducing  
5    surfactant fluid;  
6           providing a degrading device which degrades the fluid with minimum  
7    pressure drop;  
8           creating an initial temporary degradation of a circulating fluid in a flow of  
9    said fluid in said heat exchanger; and  
10          after said fluid is initially degraded, creating additional disturbances in said  
11    flow to prevent recovery of the fluid.

1           19.    The method of claim 18 where a smaller pressure drop than the  
2    one used for said initial degradation upstream of heat exchanger is used to  
3    create said smaller disturbance.

1           20.    The method of claim 19 where conditioning said drag reducing fluid  
2    properties of said drag reducing surfactant fluid with a faster recovery to achieve  
3    asymptotic drag reduction immediately downstream from said heat exchanger.

1           21.    The method of claim 19 where conditioning said drag reducing fluid  
2    properties of said drag reducing surfactant fluid by pipe stress to use shear



- 3 stress generated by said heat exchanging fluid paths of said heat exchanger to  
4 degrade said fluid.

1 22. The method of claim 9 where conditioning said drag reducing fluid  
2 properties of said drag reducing surfactant fluid by pipe stress to use shear  
3 stress generated by said heat exchanging fluid paths of said heat exchanger to  
4 prevent said fluid degraded by said degrading device from recovering.

1 23. The improvement of claim 2 further comprising maintaining flow  
2 rate of said heat exchanging fluid in said hydronic system, while flow rate of said  
3 heat exchanging fluid in said heat exchanger is increased in the heat exchanger  
4 through the addition of a secondary pump located in parallel with the heat  
5 exchanger and connected to the inlet and outlet of the heat exchanger.

1 24. A fluid comprising:  
2 a base component; and  
3 a surfactant having drag-reducing, fluid degradation, and fluid recovery  
4 properties which are substantially independent of temperature when combined  
5 with said base component.

1 25. A method of characterizing degradability of a fluid and degradation  
2 work imposed on a fluid comprising:

3 providing a flow of said fluid;  
4 providing a degrading device in said flow to degrade said drag reducing  
5 properties of said fluid;  
6 creating a pressure drop across said degrading device; and  
7 measuring said pressure drop as an indicator of resistance to degradation  
8 of said drag reducing properties in said fluid, as well as an indicator of the  
9 degradation work imposed on the fluid.

1 26. A method of managing degradability of a fluid and degradation  
2 work imposed on a fluid comprising:  
3 providing a flow of said fluid;  
4 providing a degrading device in said flow to degrade said drag reducing  
5 properties of said fluid;  
6 creating a pressure drop across said degrading device; and  
7 providing a predetermined amount of time after degradation of said drag  
8 reducing properties of said fluid to allow recovery of said fluid without additional  
9 degradation work being performed, said predetermined amount of time being  
10 independent of velocity of said fluid.

1 27. A method of increasing heat transfer in a hydronic system having a  
2 heat exchanger over nominal design limits, said heat exchanger having a heat  
3 transport fluid therein which is characterized by a heat transfer rate, comprising:

- 4 adding a surfactant to said heat transport fluid to reduce drag in said  
5 hydronic system;
- 6 providing a flow of said heat transport fluid and said surfactant through  
7 said heat exchanger at an increased rate over said nominal design limits; and
- 8 providing a degrading device in said flow in said heat exchanger to  
9 degrade said drag reducing properties of said surfactant in order to increase said  
10 heat transfer rate of said heat transport fluid in said heat exchanger.

T09220 04T99/60

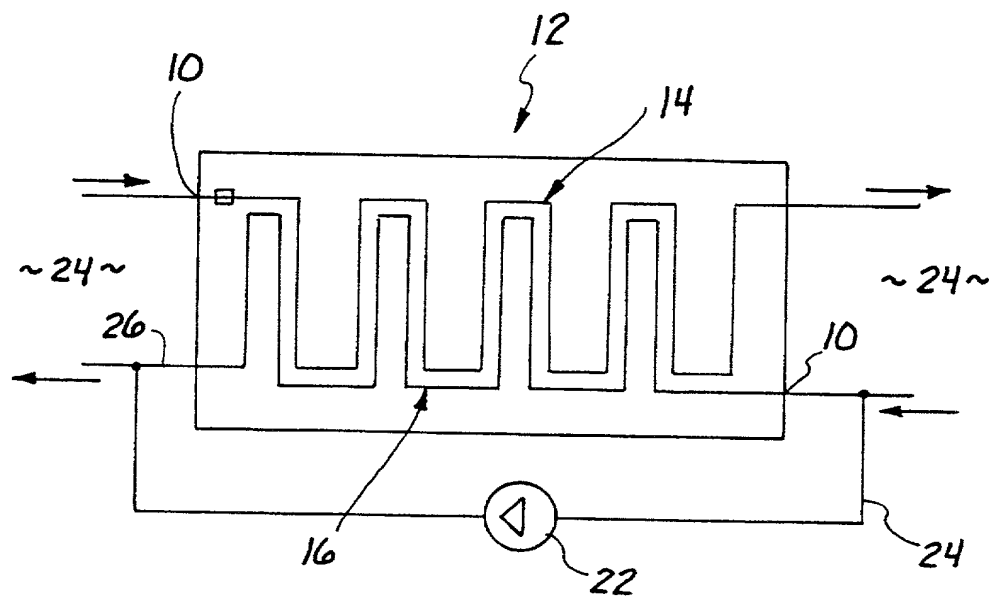


Fig. 1

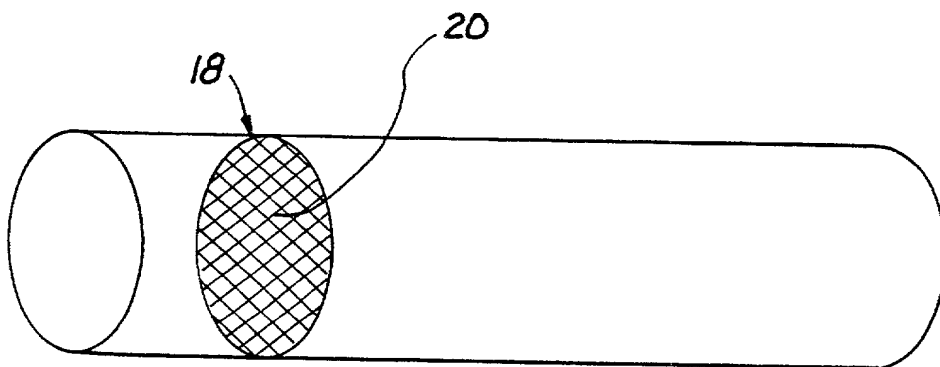
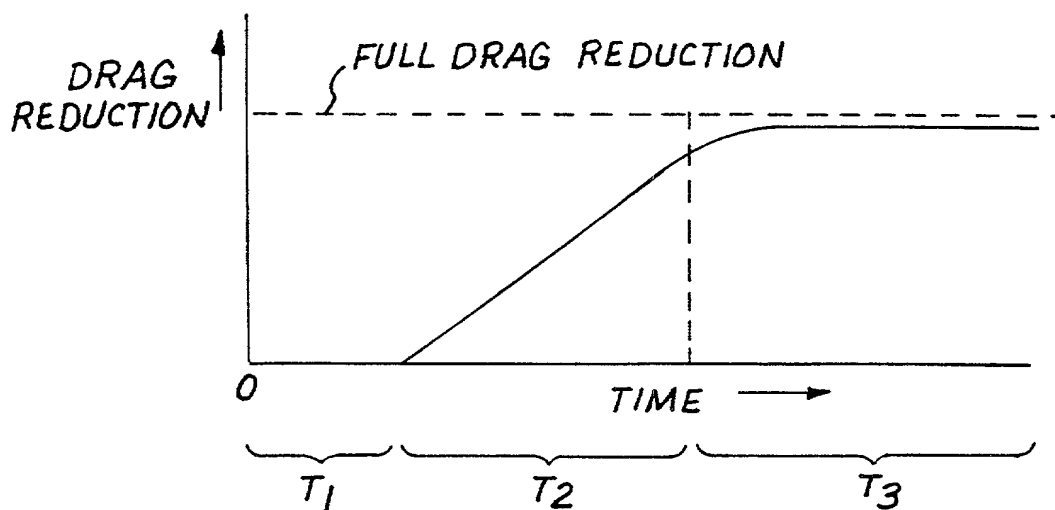
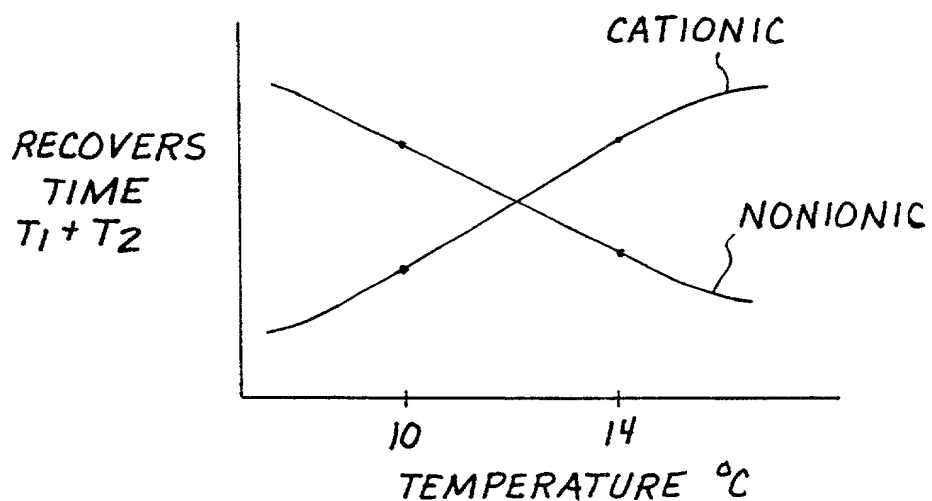


Fig. 2

*Fig. 3**Fig. 4*

Docket No.

P368b

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on 02/09/1999 as United States Application No. or PCT International Application Number PCT/US99/20220 and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby declare that I have not knowingly or negligently failed to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I have also identified below, by checking the box, any foreign application for patent or PCT International application having a filing date before that of the application is claimed.

Application(s)	Priority	Not Claimed	
PCT/L _____ (Number)	US _____ (Country)	02/09/99 _____ (Day/Month/Year Filed)	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business with the Patent and Trademark Office connected therewith. (List name and registration number)

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